

FORM-P 1390
(Rev. 9-2001)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

022701-968

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)

UNASSIGNED

10/009605

INTERNATIONAL APPLICATION NO.
PCT/FR00/01571

INTERNATIONAL FILING DATE
8 JUNE 2000

PRIORITY DATE CLAIMED
11 JUNE 1999

TITLE OF INVENTION

PROCESS FOR THE PREPARATION OF A RELEASE AND LEAKTIGHT COATING APPLIED TO A CYLINDER HEAD GASKET EMPLOYING A SILICONE MATERIAL

APPLICANT(S) FOR DO/EO/US

Jean-Marc FRANCES and Olivier LOUBET

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:

Notification of Transmission of Preliminary Examination Report (Form PCT/IPEA/416); Preliminary Examination Report (Form PCT/IPEA/409); Form PCT/IB/308 and Form PCT/IB/332.



21839

U.S. APPLICATION NO. (If known) 10700905 UNASSIGNED	INTERNATIONAL APPLICATION NO. JG07P PCT/FR00/01571	PCT/PTO 11 DEC 2001 ATTORNEY'S DOCKET NUMBER 022701-968
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21. <input checked="" type="checkbox"/> The following fees are submitted: Basic National Fee (37 CFR 1.492(a)(1)-(5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1,040.00 (960) International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$890.00 (970) International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$740.00 (958) International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$710.00 (956) International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00 (962) ENTER APPROPRIATE BASIC FEE AMOUNT =	CALCULATIONS PTO USE ONLY												
Surcharge of \$130.00 (154) for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)). 20 <input type="checkbox"/> 30 <input type="checkbox"/>	\$												
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 20%;">Claims</th> <th style="width: 20%;">Number Filed</th> <th style="width: 20%;">Number Extra</th> <th style="width: 40%;">Rate</th> </tr> <tr> <td>Total Claims</td> <td>9 -20 =</td> <td>0</td> <td>X\$18.00 (966)</td> </tr> <tr> <td>Independent Claims</td> <td>1 -3 =</td> <td>0</td> <td>X\$84.00 (964)</td> </tr> </table>	Claims	Number Filed	Number Extra	Rate	Total Claims	9 -20 =	0	X\$18.00 (966)	Independent Claims	1 -3 =	0	X\$84.00 (964)	\$ 0.00 \$ 0.00
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Total Claims	9 -20 =	0	X\$18.00 (966)										
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Multiple dependent claim(s) (if applicable)	+ \$280.00 (968) \$ 0.00												
TOTAL OF ABOVE CALCULATIONS =	\$ 890.00												
Reduction for 1/2 for filing by small entity, if applicable (see below).	+ \$ -												
SUBTOTAL =	\$ 890.00												
Processing fee of \$130.00 (156) for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492(f)).	20 <input type="checkbox"/> 30 <input type="checkbox"/> \$ +												
TOTAL NATIONAL FEE =	\$ 890.00												
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 (581) per property	+ \$												
TOTAL FEES ENCLOSED =	\$ 890.00												
	Amount to be refunded: \$ charged: \$												

a. <input type="checkbox"/> Small entity status is hereby claimed. b. <input checked="" type="checkbox"/> A check in the amount of \$ <u>890.00</u> to cover the above fees is enclosed. c. <input type="checkbox"/> Please charge my Deposit Account No. <u>024800</u> in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. d. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>024800</u> . A duplicate copy of this sheet is enclosed. NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.	 SIGNATURE TERESA STANEK REA NAME 30427 REGISTRATION NUMBER DECEMBER 11, 2001 DATE
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SEND ALL CORRESPONDENCE TO:

Norman H. Stegno, Esq.
 BURNS, DOANE, SWECKER & MATHIS, L.L.P.
 P.O. Box 1404
 Alexandria, Virginia 22313-1404
 (703) 836-6620

FORM-PTO 1390 (Rev. 9-2001)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		INVENTOR'S DOCKET NUMBER	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				022701-968	
				U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5)	
				10/009,605	
INTERNATIONAL APPLICATION NO. PCT/FR00/01571		INTERNATIONAL FILING DATE 8 JUNE 2000		PRIORITY DATE CLAIMED 11 JUNE 1999	
TITLE OF INVENTION PROCESS FOR THE PREPARATION OF A RELEASE AND LEAKTIGHT COATING APPLIED TO A CYLINDER HEAD GASKET EMPLOYING A SILICONE MATERIAL					
APPLICANT(S) FOR DO/EO/US Jean-Marc FRANCES and Olivier LOUBET					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
1. <input type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input checked="" type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31). 5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input type="checkbox"/> A FIRST preliminary amendment. 14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A change of power of attorney and/or address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. <input checked="" type="checkbox"/> Other items or information: Copy of Notification of Missing Requirements, dated February 4, 2002.					



21839

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) 10/009,605	INTERNATIONAL APPLICATION NO. PCT/FR00/01571	ATTORNEY'S DOCKET NUMBER 022701-968
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ENTER APPROPRIATE BASIC FEE AMOUNT = \$																						
Surcharge of \$130.00 (154) for furnishing the oath or declaration later than 20 <input type="checkbox"/> 30 <input type="checkbox"/> months from the earliest claimed priority date (37 CFR 1.492(b)). \$ 130.00																						
<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th style="width:20%;">Claims</th> <th style="width:20%;">Number Filed</th> <th style="width:20%;">Number Extra</th> <th style="width:20%;">Rate</th> <th style="width:20%;"></th> </tr> <tr> <td>Total Claims</td> <td>9 -20 =</td> <td>0</td> <td>X\$18.00 (966)</td> <td>\$ 0.00</td> </tr> <tr> <td>Independent Claims</td> <td>1 -3 =</td> <td>0</td> <td>X\$84.00 (964)</td> <td>\$ 0.00</td> </tr> <tr> <td colspan="3">Multiple dependent claim(s) (if applicable)</td> <td>+ \$280.00 (968)</td> <td>\$ 0.00</td> </tr> </table>	Claims	Number Filed	Number Extra	Rate		Total Claims	9 -20 =	0	X\$18.00 (966)	\$ 0.00	Independent Claims	1 -3 =	0	X\$84.00 (964)	\$ 0.00	Multiple dependent claim(s) (if applicable)			+ \$280.00 (968)	\$ 0.00		
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TOTAL FEES ENCLOSED = \$ 170.00																						
Amount to be refunded: \$																						
charged: \$																						

a. ☐ Small entity status is hereby claimed.

b. ☒ A check in the amount of \$ 170.00 to cover the above fees is enclosed.

c. ☐ Please charge my Deposit Account No. 02-4800 in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.

d. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-4800. A duplicate copy of this sheet is enclosed.

SIGNATURE
TERESA STANEK REA
 NAME
30,427
 REGISTRATION NUMBER
March 20, 2002
 DATE

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Norman H. Stejno, Esq.
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 P.O. Box 1404
 Alexandria, Virginia 22313-1404
 (703) 836-6620

03/25/2002 BUYER 00000117 10009605

01 FC:154

130.00 OP

The PTO did not receive the following listed item(s) Check \$40
Assignment form